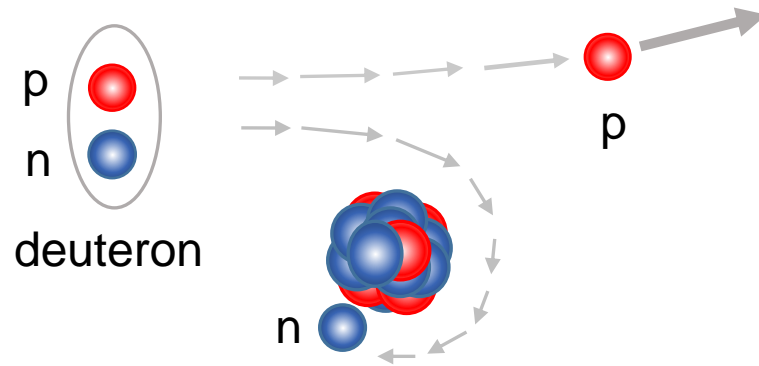


Three-nucleon force in (d,p) reactions

N.K. Timofeyuk



The starting point for direct reactions theories for $A(a,b)B$ ($\alpha \rightarrow \beta$):

$$\left(T + \sum_{i < j} V_{ij} - E \right) \Psi = 0$$

After projecting total many-body wave function into a specific exit channel and introducing auxiliary two-body potentials U_α and U_β and after some manipulations we get

Transition amplitude
for $\alpha \rightarrow \beta$ reaction

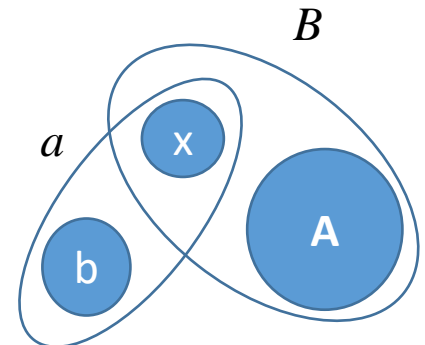
Distorted wave
Born approximation

$$T_{\beta\alpha}(\hat{\mathbf{r}}_\beta, \mathbf{k}_\beta) = \left\langle \chi_\beta^{(-)} \Psi_b \Psi_B \left| V_\beta - U_\beta(\mathbf{r}_\beta) \right| \chi_\alpha^{(+)} \Psi_a \Psi_A \right\rangle + \left\langle \chi_\beta^{(-)} \Psi_b \Psi_B \left| (V_\beta - U_\beta(\mathbf{r}_\beta)) \tilde{G}_\alpha^{(+)} (V_\alpha - U_\alpha(\mathbf{r}_\alpha)) \right| \Psi_\alpha^{(+)} \right\rangle$$

N. Austern, *Direct nuclear reaction theories* (1970)

G. R. Satchler, *Direct reaction theories* (1983)

$$V_\beta = V_{bB} = \sum_{i \in b} \sum_{j \in B} v_{ij} = \sum_{i \in b} \left\{ \sum_{j \in x} + \sum_{j \in A} \right\} v_{ij} = V_{bx} + V_{bA}.$$



1939: *Primakoff and Holstein, PR55, 1218 (1939)*

Can field interactions be substituted by two-body interactions?

Conclusion:

These investigations indicate that **the replacement of field interactions by two-body action-at-a-distance potentials is a poor approximation in nuclear problems. The error made is at least of the order of v_n/c , if one compares the magnitudes, term by term, of two- and three-body potentials.**

Furthermore, the number of terms in the m-body interaction of an n-body nucleus: $n!/m!(n-m)!$,—is, in general, many times larger than the number of two-body interaction terms: $n!/2!(n-2)! = n(n-1)/2$, but, a direct estimate of the magnitude of the total m-body interaction

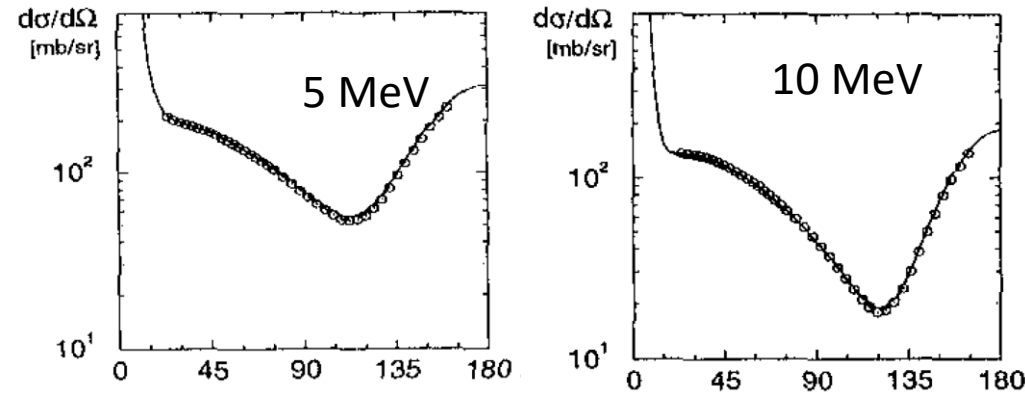
((number of m-body terms) \times (average magnitude of each))

is complicated by the fact that the m-body interactions are, at least in part, of an exchange and spin-dependent character. ***It seems therefore, that a satisfactory description of nuclei, other than the deuteron, can be obtained only by an explicit consideration from the very beginning of the role played by the field.***

1957: *Fujita and Miyazawa, PTP17, 360 (1957).*

The origin of the 3N force is attributed to virtual excitations of a Delta-resonance when three nucleons interact via a pion exchange.

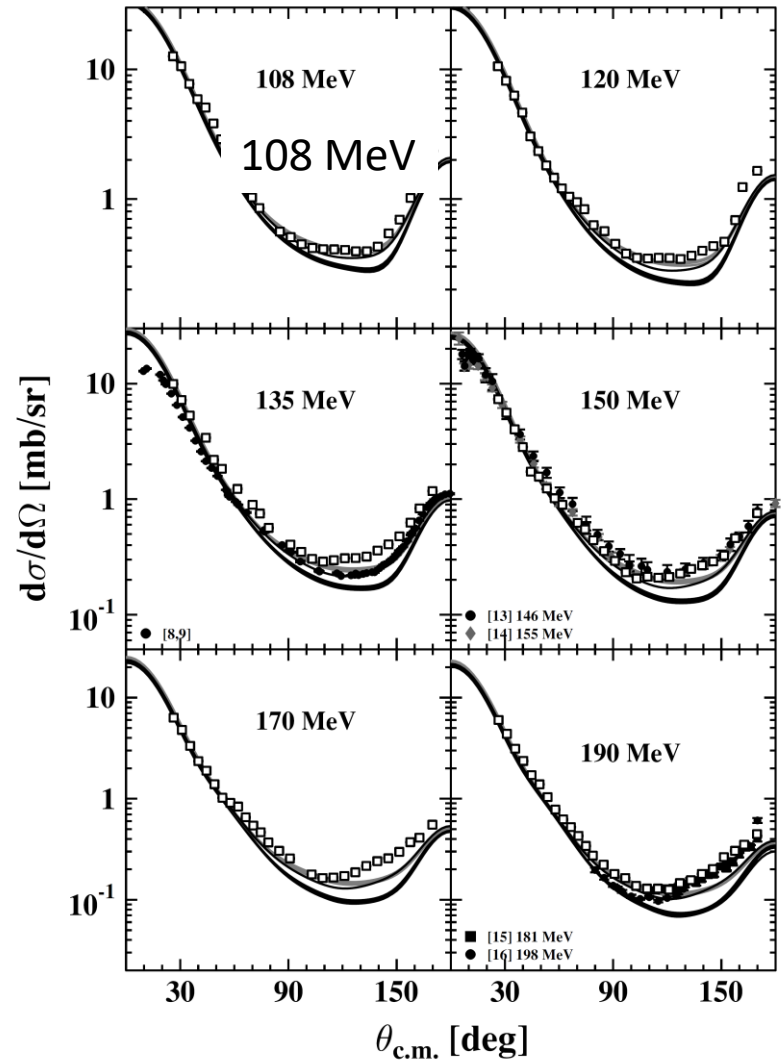
Role of 3NF in p-d scattering:



A.Kievsky, NPA689, 361c (2001)

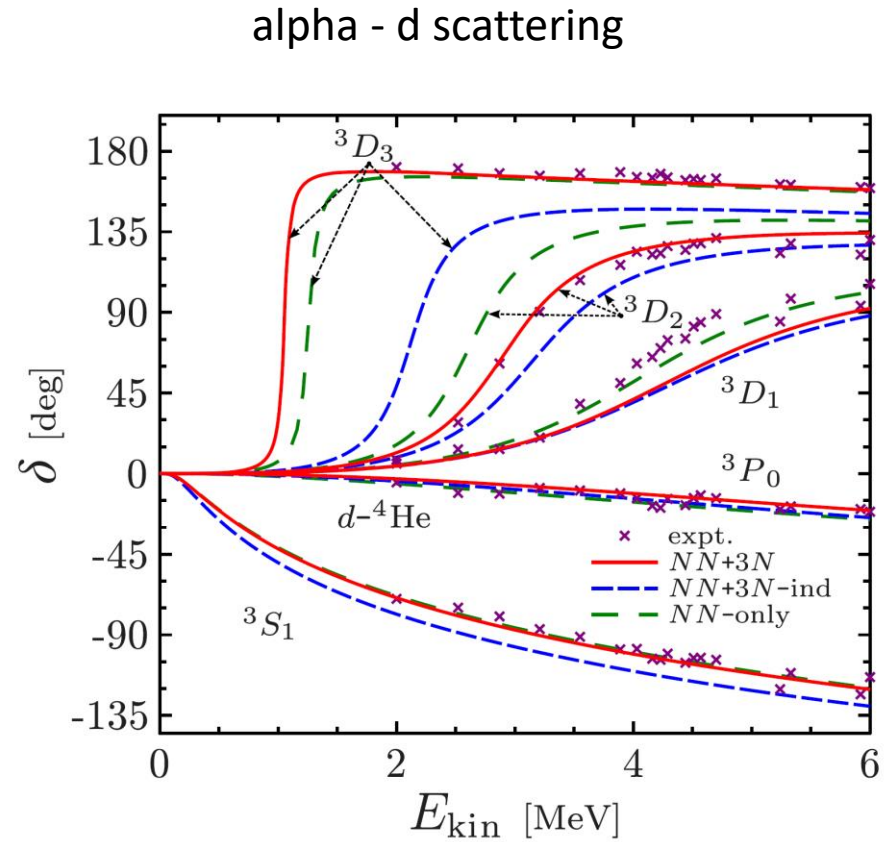
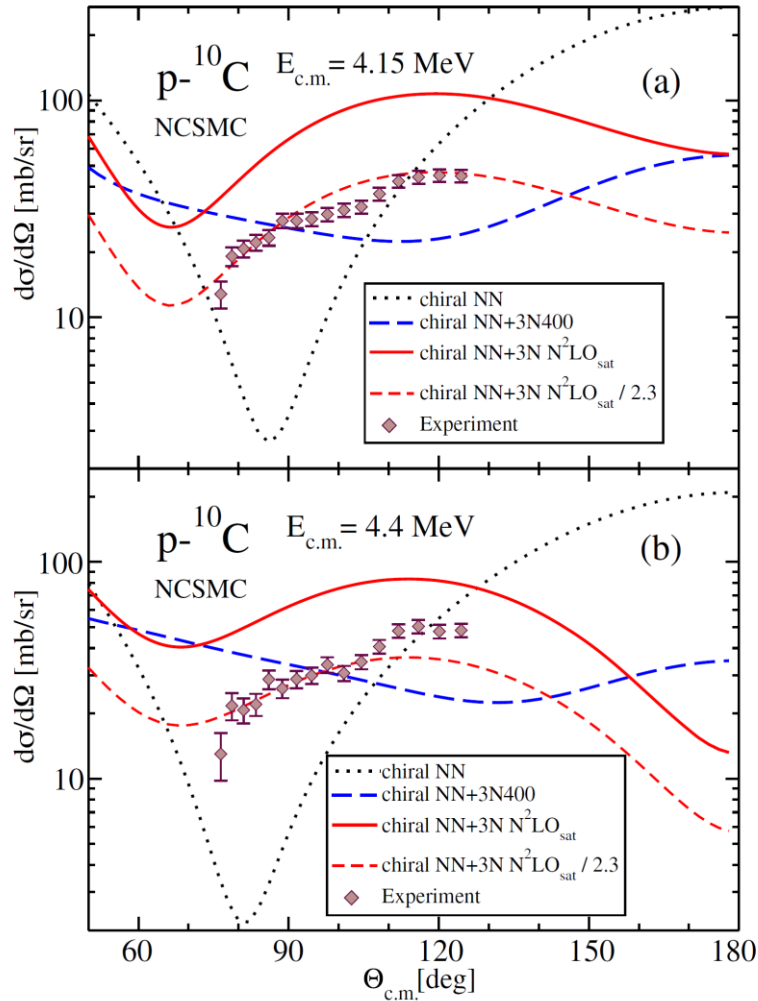
No influence at small energies.

3NF contribution becomes noticeable at $E > 100$ MeV.



K.Ermisch et al, PRC71, 064004 (2005)

3N force in ab-initio scattering calculations for $A > 4$



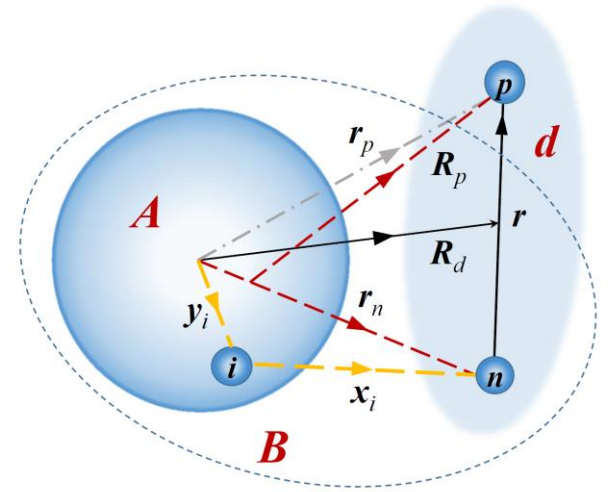
G. Hupin, S. Quaglioni, P. Navratil,
 Phys.Rev.Lett. 114, 212502 (2015)

A. Kumar et al, Phys.Rev.Lett. 118, 262502 (2017)

What changes does 3N force bring?

$$\left(T + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} - E \right) \Psi = 0$$

Distorted wave theories: DWBA and ADWA



$$T_{(d,p)} = T_{(d,p)}^{2N} + T_{(d,p)}^{3N}$$

$$T_{(d,p)}^{2N} = \langle \Psi_{M_B}^{J_B} \psi_{M_p}^{J_p} \chi_{\mathbf{k}_p}(\mathbf{R}_p) | V_{np}(\mathbf{r}) | \Psi_{M_A}^{J_A} \psi_{M_d}^{J_d} \chi_{\mathbf{k}_d}(\mathbf{R}_d) \rangle$$

phenomenological, 3N should be there

Hamiltonian with 3N contribution includes new term:

$$T_{(d,p)}^{3N} = \langle \Psi_{M_B}^{J_B} \psi_{M_p}^{J_p} \chi_{\mathbf{k}_p}(\mathbf{R}_p) | \sum_{i \in A} W_{ipn} | \Psi_{M_A}^{J_A} \psi_{M_d}^{J_d} \chi_{\mathbf{k}_d}(\mathbf{R}_d) \rangle$$

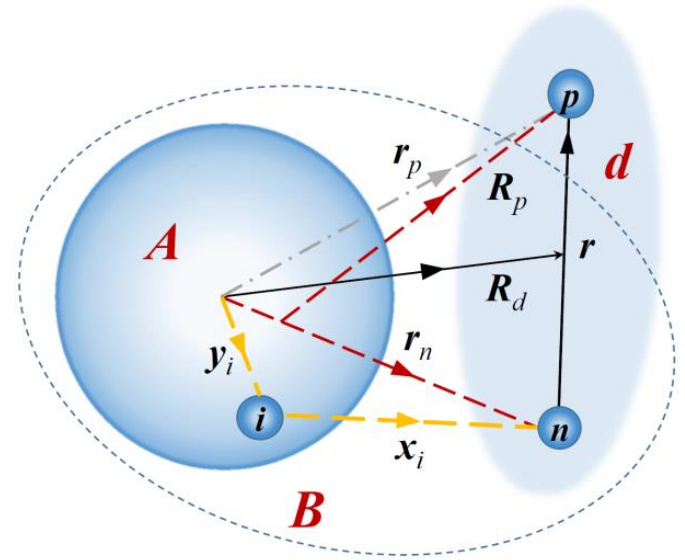
Difference between 2N and 3N contributions:

2N term contains overlap integral

$$\langle \psi_B | \psi_A \rangle = I(\mathbf{r}_n)$$

3N term contains different matrix element:

$$\left\langle \psi_B \left| \sum_{i \in A} W_{inp} \right| \psi_A \right\rangle = F(\mathbf{r}_n, \mathbf{R}_p)$$



It is not proportional to the overlap integral and the corresponding cross section cannot be factorized via a single spectroscopic factor!

Assumptions are needed to proceed with evaluating 3N contributions:

- 3N force is contact

$$W_{ijk} = I_3 [(\tau_i \cdot \tau_j) \delta(r_{ik}) \delta(r_{jk}) + (\tau_i \cdot \tau_k) \delta(r_{ij}) \delta(r_{jk}) + (\tau_k \cdot \tau_j) \delta(r_{ik}) \delta(r_{ji})]$$

- Nucleus A is a double-closed shell
- A and B are described by Hartree-Fock model
- Single-particle wave functions in A and B are the same
- The difference in centre-of-mass positions in A and B is neglected

With these assumptions, available DW codes can be used in which overlap function should be modified:

$$I_{lj}^{\text{mod}}(r) = I_{lj}(r) \left[1 + \frac{I_3 \psi_d(0)}{D_0} \left(\rho_A(r) - \frac{1}{2} \rho_A^{(n)}(r) \right) \right]$$

3N force strength Deuteron w.f. at $r_{np} = 0$
Overlap function (HF s.p.w.f.) Density of nucleus A Neutron density of nucleus A

$$D_0 = \int d\mathbf{r} V_{np}(\mathbf{r}) \varphi_d(\mathbf{r})$$

D_0 is independent of NN model

$\psi_d(0)$ is model-dependent:

NN Model	Ref	$\psi_d(0)/Y_{00}(\hat{\mathbf{r}})$
Reid soft core	[17]	0
Argonne V18	[18]	0.079
CD-Bonn	[19]	0.30
χ EFT N4LO: 0.8 fm	[20]	-0.22
χ EFT N4LO: 0.9 fm	[20]	-0.11
χ EFT N4LO: 1.0 fm	[20]	-0.026
χ EFT N4LO: 1.1 fm	[20]	0.062
χ EFT N4LO: 1.2 fm	[20]	0.14
χ EFT N2LO: 1.0 fm	[21]	0.282

Finite-range effects in Plane-Wave Born Approximation

$$T_{(d,p)}^{3N} = \langle \Psi_{M_B}^{J_B} \psi_{M_p}^{J_p} \chi_{\mathbf{k}_p}(\mathbf{R}_p) | \sum_{i \in A} W_{ipn} | \Psi_{M_A}^{J_A} \psi_{M_d}^{J_d} \chi_{\mathbf{k}_d}(\mathbf{R}_d) \rangle$$

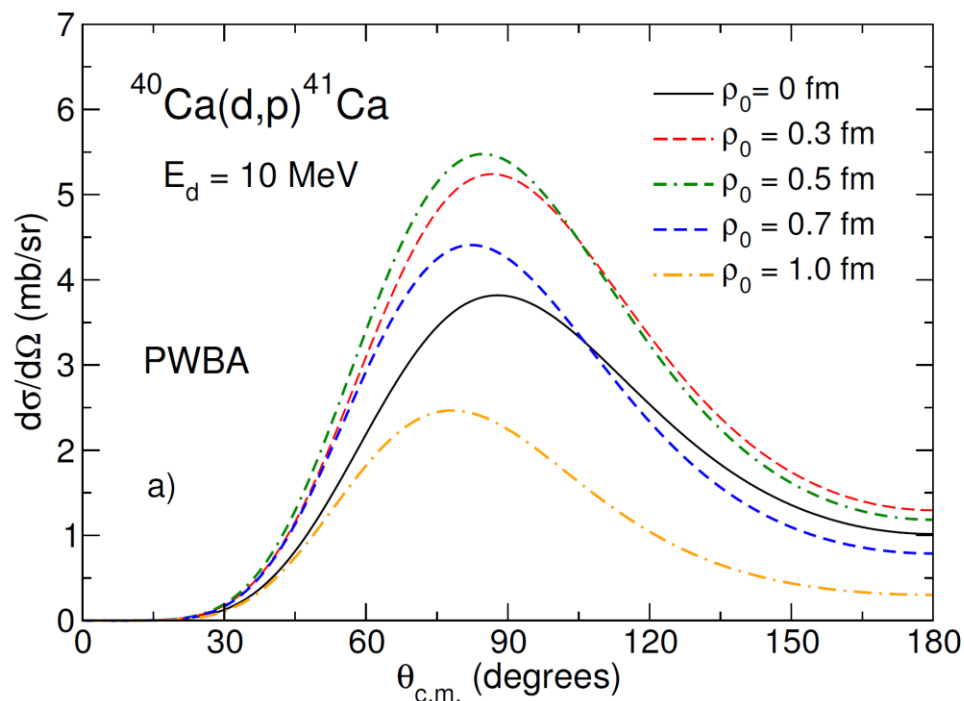
using plane waves

$$W_{ijk} = W_0 e^{-\frac{1}{3} \frac{r_{ij}^2 + r_{jk}^2 + r_{ki}^2}{\rho_0^2}}$$

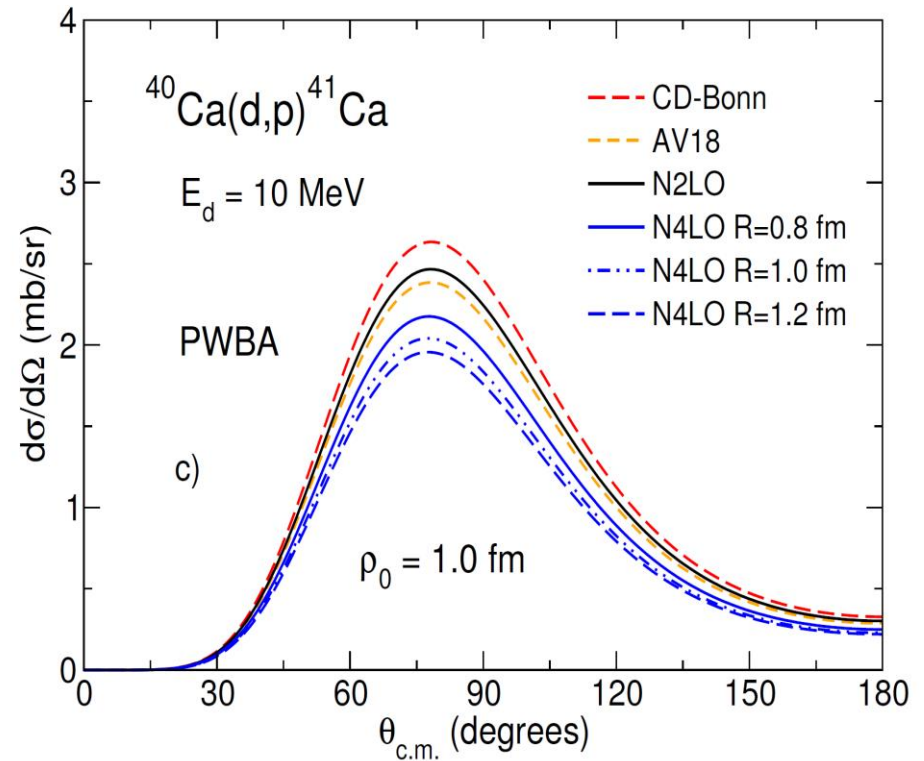
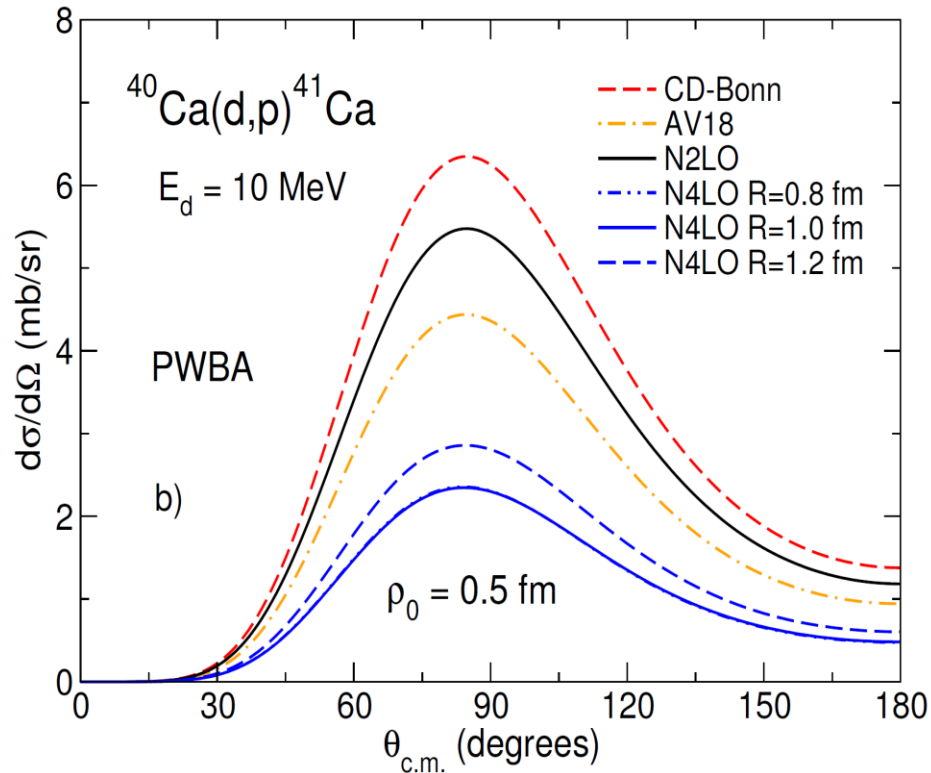
Fixed volume integral

$$I_3 = \int d\mathbf{r}_{12} d\mathbf{r}_{13} W(\rho_{ijk})$$

Deuteron wave function:
 χ EFT at N2LO



Sensitivity to the deuteron wave function for a fixed 3N force



Second source of 3N effects in (d,p) reactions : deuteron breakup

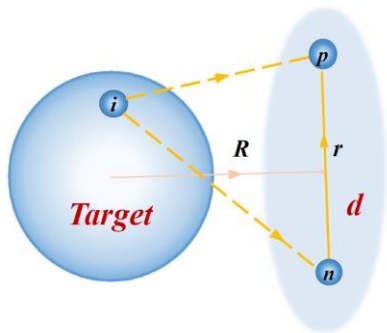
In the Adiabatic Distorted Wave Approximation (ADWA) the d-A distorted wave function is found from equation:

$$(T_{dA} + \langle \varphi_1 | U_{nA} + U_{pA} | \varphi_d \rangle - E_d) \chi_{dA}^{(+)}(\mathbf{R}) = 0$$

$$\varphi_1 = \frac{V_{np} \varphi_d}{\langle \varphi_d | V_{np} | \varphi_d \rangle}$$

Adding 3N force modifies this equation:

$$(T_{dA} + \langle \varphi_1 | U_{nA} + U_{pA} | \varphi_d \rangle + \langle \varphi_A \varphi_1 | \sum_{ijk} V_{ijk} | \varphi_A \varphi_d \rangle - E_d) \chi_{dA}^{(+)}(\mathbf{R}) = 0$$

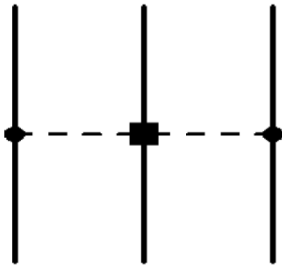


It is very important to choose 3N force that is consistent with 2N interaction that generates deuteron wave function.

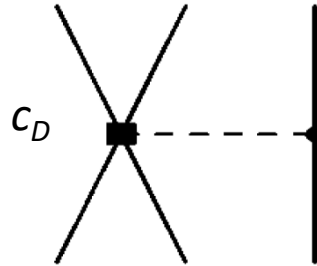
3N force in Chiral Effective Field Theory (χ EFT).

At next-to-next-to-leading (N2LO) order

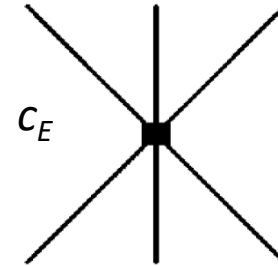
2π exchange



1π exchange + contact



contact

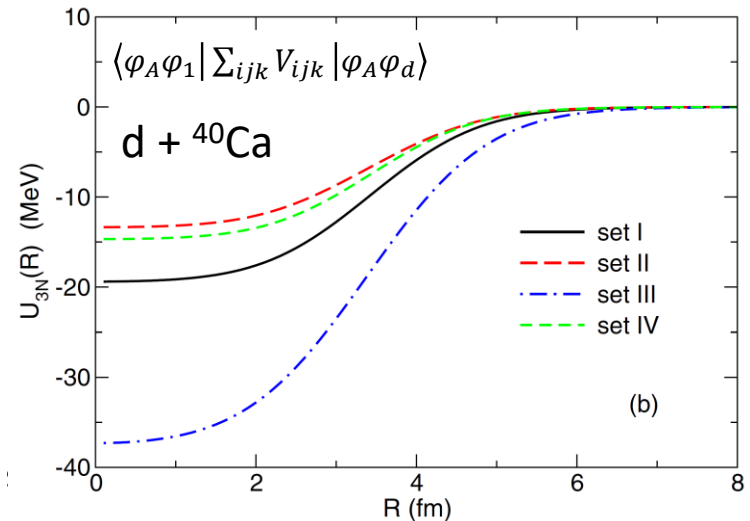
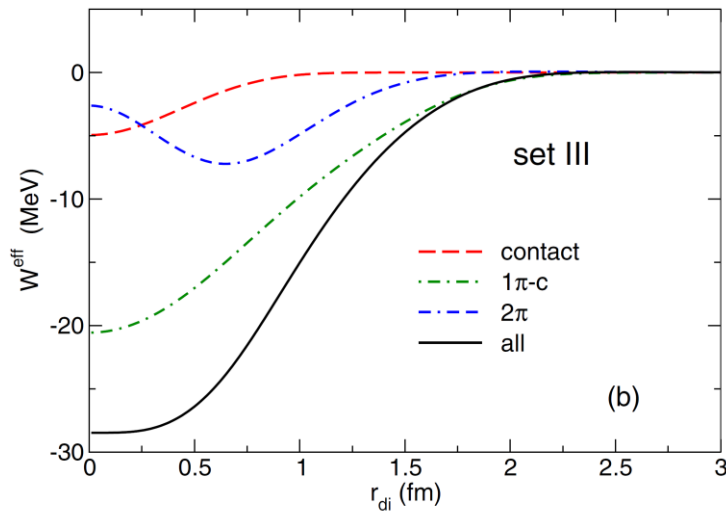
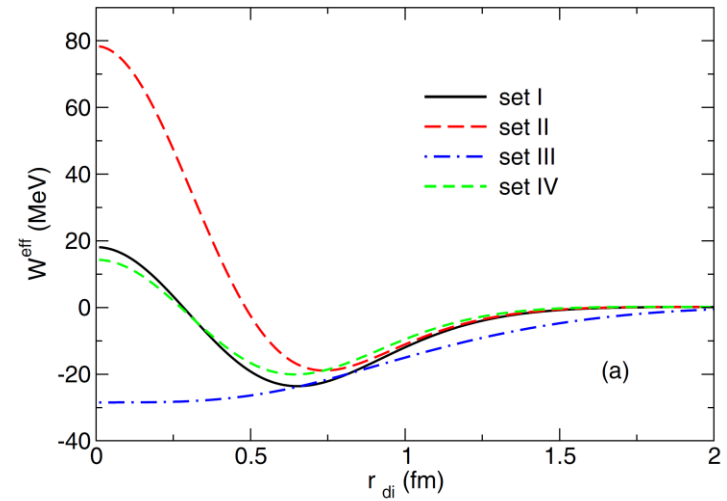
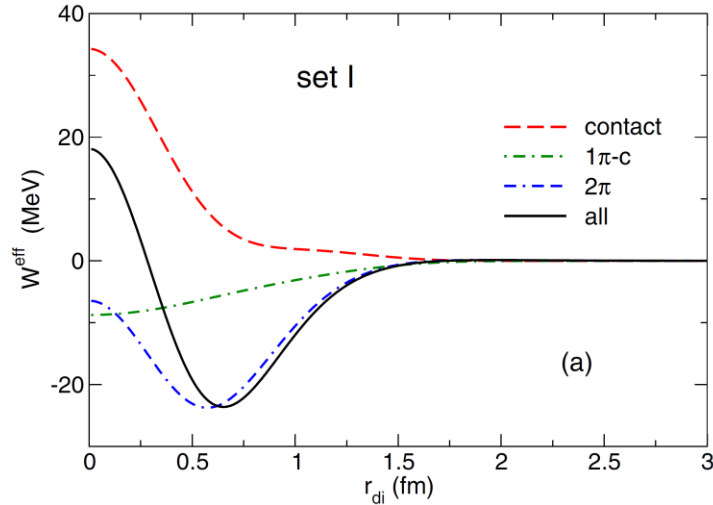


Local version of the χ EFT at N2LO from *J.E.Lynn et al [PRL 116 062501(2016)]* has been used with 4 sets of low-energy constants. They were fitted to describe light nuclei, neutron-alpha scattering and neutron matter.

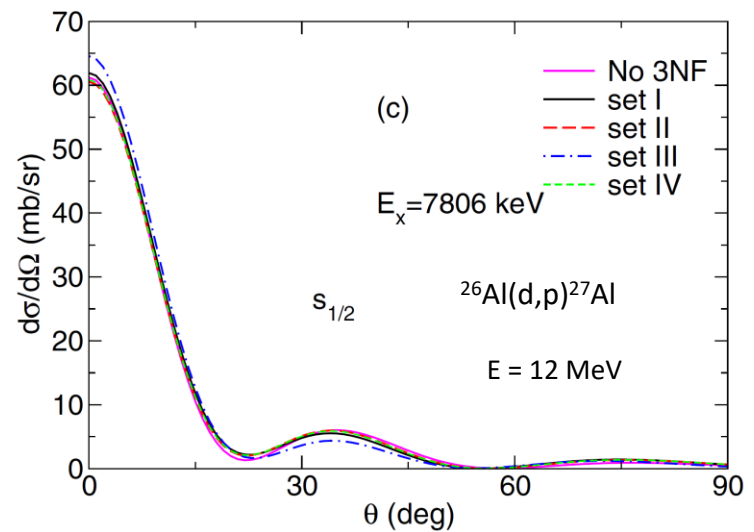
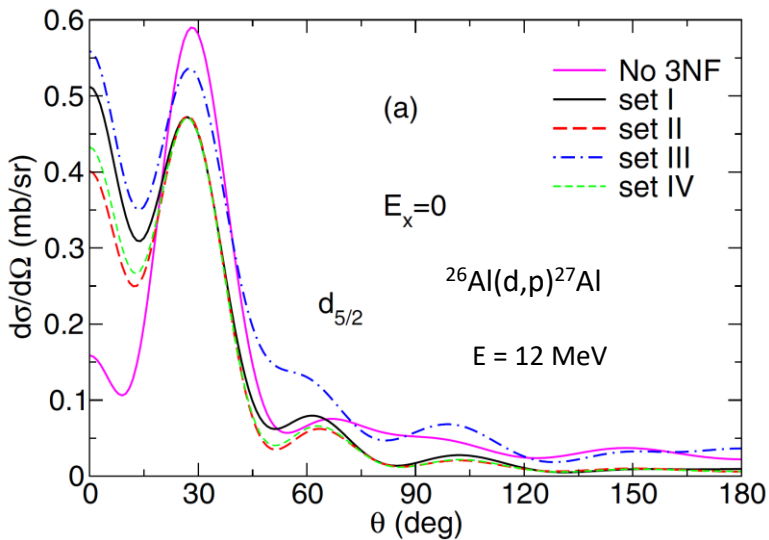
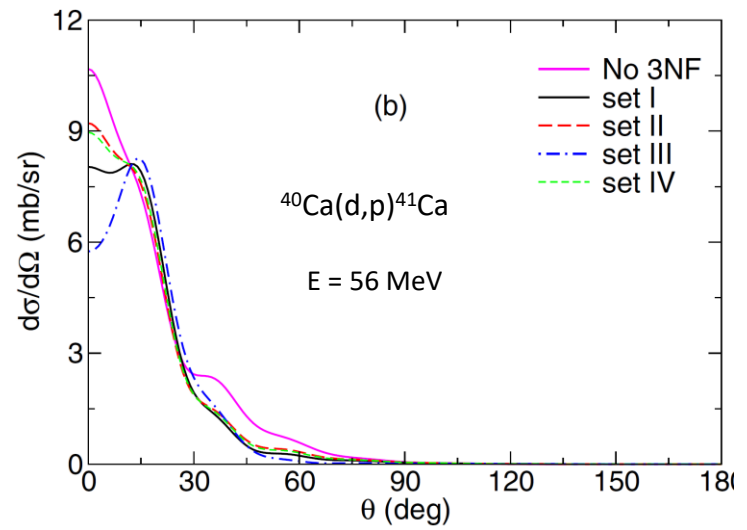
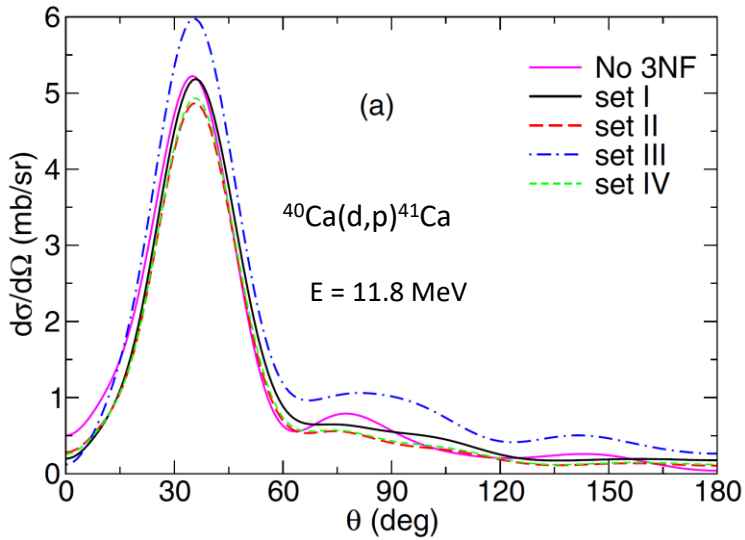
Set	Format	R_{3N}	c_E	c_D
I	$E1$	1.0	0.62	0.5
II	$E\tau$	1.0	-0.63	0.0
III	$E\tau$	1.2	0.09	3.5
IV	$E\mathcal{P}$	1.0	0.59	0.0

When calculating $\langle \varphi_A \varphi_1 | \sum_{ijk} V_{ijk} | \varphi_A \varphi_d \rangle$ we first integrate over n - p distance r :

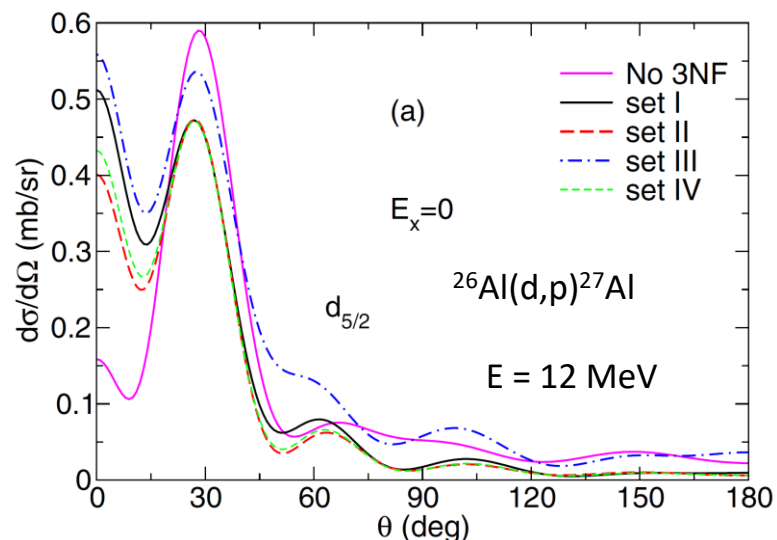
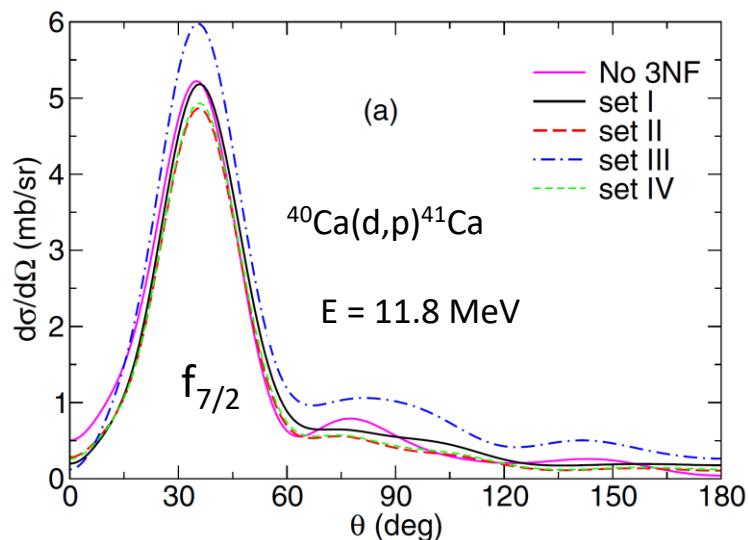
$$W_{di}^{\text{eff}}(r_{di}) = \langle \varphi_1 | \sum_{ijk} V_{ijk} | \varphi_d \rangle$$



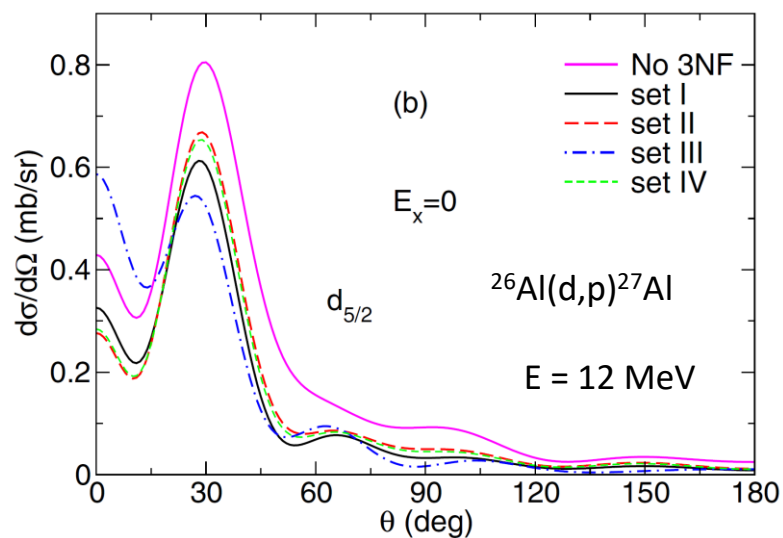
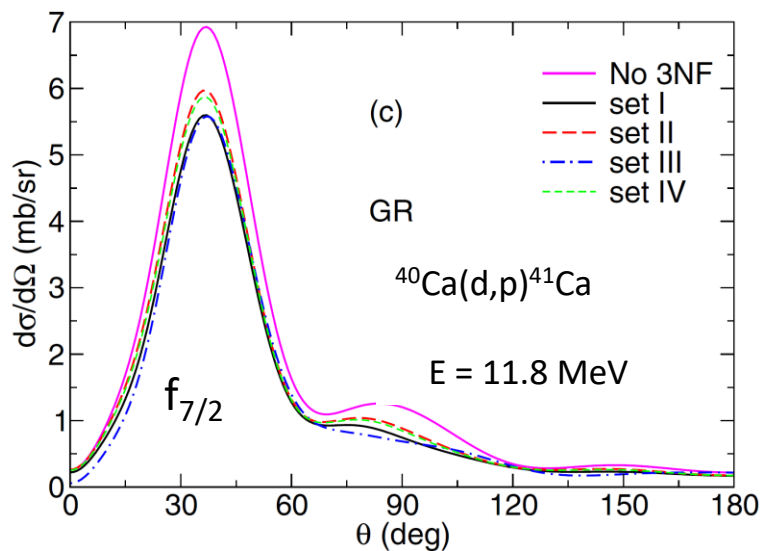
(d,p) results in ADWA with global N -A optical potential KD03



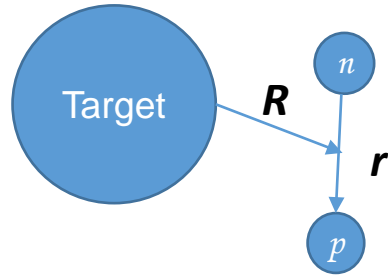
ADWA with local optical potentials: KD03



ADWA with non-local energy-independent optical potential: Giannini-Ricco



Optical potentials in the A + n + p three-body model



Excluding excited states following Feshbach ideas we find that the ground-state channel function can be found from a three-body model

$$(T_3 + V_{np} + \langle \phi_{g.s.} | V_{opt} | \phi_{g.s.} \rangle - E_3) \chi_0 = 0$$

with the optical potential

The total system is a many-body object

$$\Psi = \underbrace{\phi_{g.s.} \chi_0(\mathbf{r}, \mathbf{R})}_{\Psi_P = P\Psi} + \underbrace{\sum_{i \neq 0} \phi_i \chi_i(\mathbf{r}, \mathbf{R})}_{\Psi_Q = Q\Psi}$$

$$\Psi_P = P\Psi$$

$$\Psi_Q = Q\Psi$$

$$Q = \sum_{i \neq 0} |\phi_i\rangle \langle \phi_i|$$

$$V_{opt} = U_{nA} + U_{pA} + U_{nA} \frac{Q}{e} U_{pA} + U_{pA} \frac{Q}{e} U_{nA} + \dots$$

$$U_{NA} = v_{NA} + v_{NA} \frac{Q}{e} U_{NA}$$

Optical potential for 3-body system has two-body and three-body terms

R.C. Johnson and N.K. Timofeyuk, PRC 89, 024605 (2014)

Neglecting multiple scattering in ADWA:

$$(T_{dA} + \langle \varphi_1 \varphi_A | U_{nA} + U_{pA} | \varphi_d \varphi_A \rangle - E_d) \chi_{dA}^{(+)}(\mathbf{R}) = 0$$

Averaging procedure gives

$$\langle \varphi_1 \varphi_A | U_{NA} | \varphi_d \varphi_A \rangle \approx \langle \varphi_A | v_{NA} + v_{NA} \frac{Q}{E_{\text{eff}} + i0 - T_N - (H_A - E_A)} U_{NA} | \varphi_A \rangle$$

where

$$E_{\text{eff}} = \frac{1}{2} E_d + \frac{1}{2} \frac{\langle \varphi_d | V_{np} T_{np} | \varphi_d \rangle}{\langle \varphi_d | V_{np} | \varphi_d \rangle}$$

← half the n-p kinetic energy in deuteron ranges between 44 and 120 MeV

Comparing to the N-A optical potential:

$$\langle \varphi_A | U_{NA} | \varphi_A \rangle \approx \langle \varphi_A | v_{NA} + v_{NA} \frac{Q}{E_N + i0 - T_N - (H_A - E_A)} U_{NA} | \varphi_A \rangle$$

Three-body problem for (d,p) reactions should be solved with energy-independent nonlocal nucleon potentials taken at effective energy equal to half the deuteron energy plus a shift.

The optical operator contains multiple scattering to all orders:

$$V_{opt} = \underbrace{U_{nA} + U_{pA}}_{U^{(0)}} + \underbrace{U_{nA} \frac{Q}{e} U_{pA} + U_{pA} \frac{Q}{e} U_{nA}}_{U^{(1)}} + \dots$$

Including multiple scattering effects in the leading order within the ADWA:

$$\langle \varphi_1 \varphi_A | U^{(0)} + U^{(1)} | \varphi_d \varphi_A \rangle \approx 2 \langle \varphi_1 \varphi_A | U^{(0)} | \varphi_d \varphi_A \rangle - \sum_{N=n,p} \langle \varphi_1 \varphi_A | v_{NA} | \varphi_d \varphi_A \rangle$$

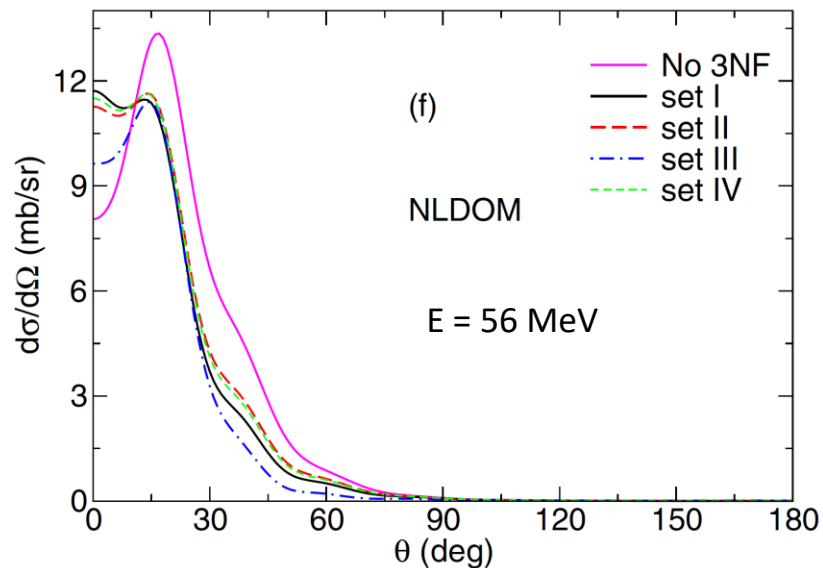
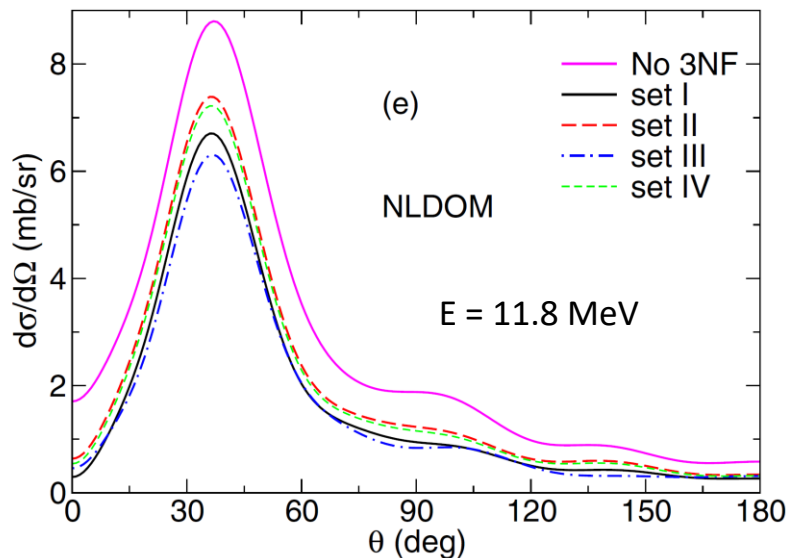
Relation to phenomenological optical potentials

M.J.Dinmore, N.K.Timofeyuk, J.S.Al-Khalili, R.C.Johnson
Phys.Rev. C 99, 064612 (2019)

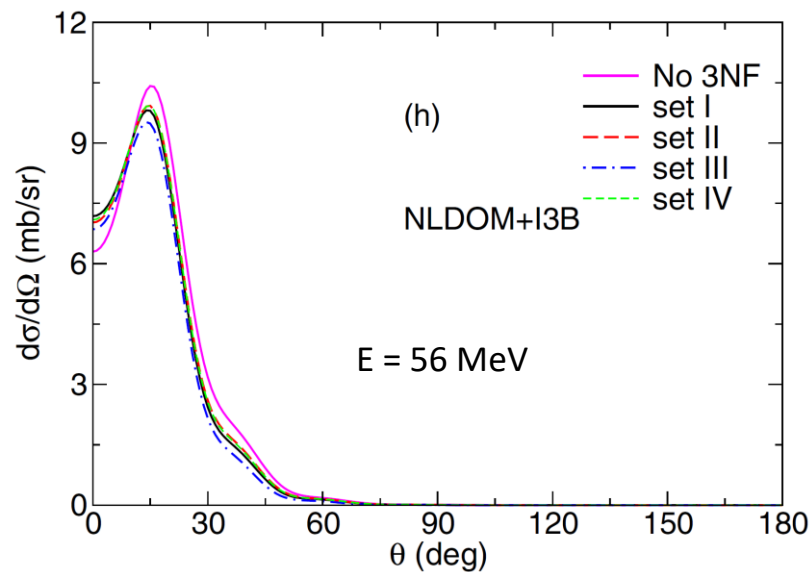
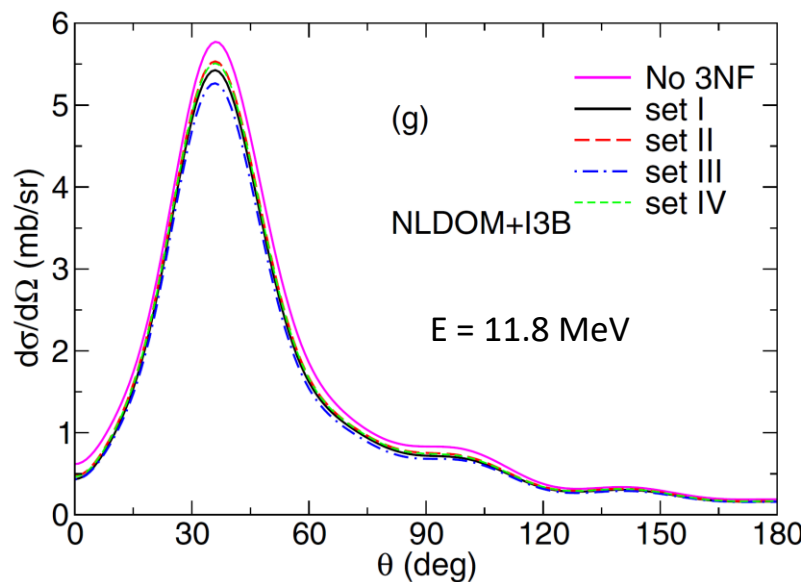
$$\begin{aligned} & \langle \phi_A | U^{(0)} + U^{(1)} | \phi_A \rangle \\ &= V_{nA}^{HF} + 2\Delta V_{nA}^{\text{dyn}}(E) + V_{pA}^{HF} + 2\Delta V_{pA}^{\text{dyn}}(E) \end{aligned}$$

Dynamical part of the phenomenological optical potential, taken at a shifted energy, should be doubled.

ADWA with non-local dispersive optical potential for $^{40}\text{Ca}(d,p)^{41}\text{Ca}$

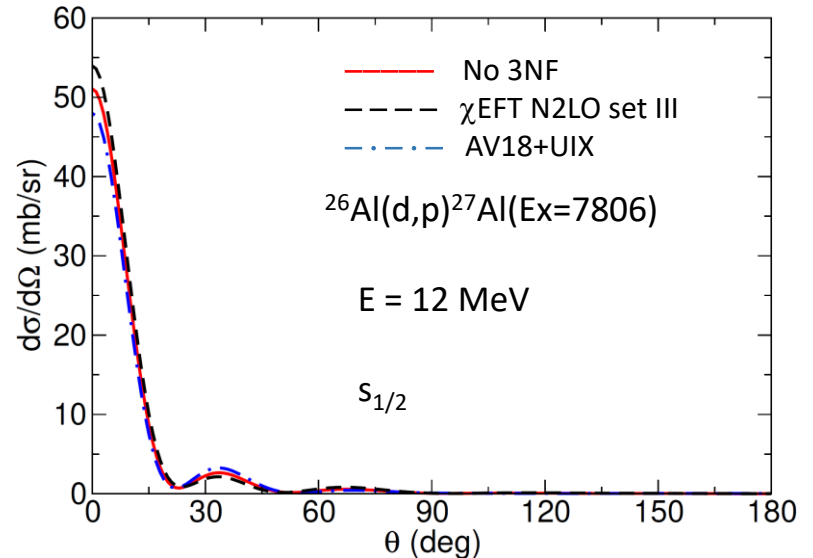
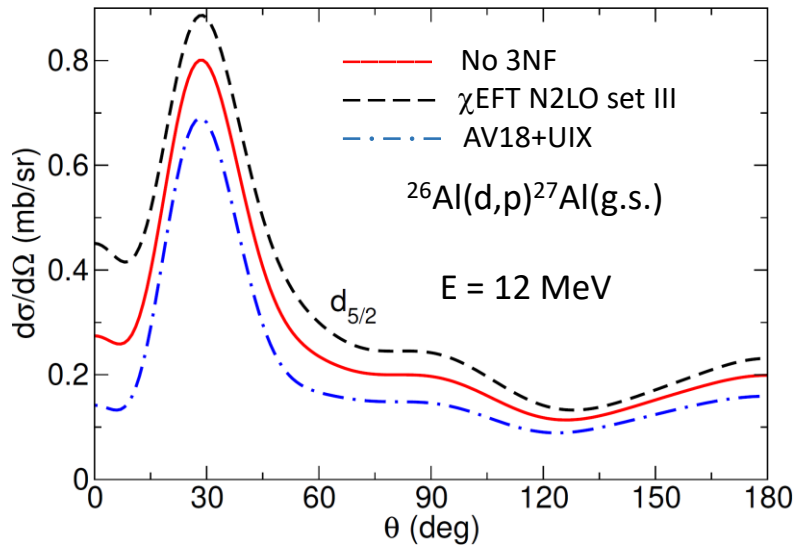
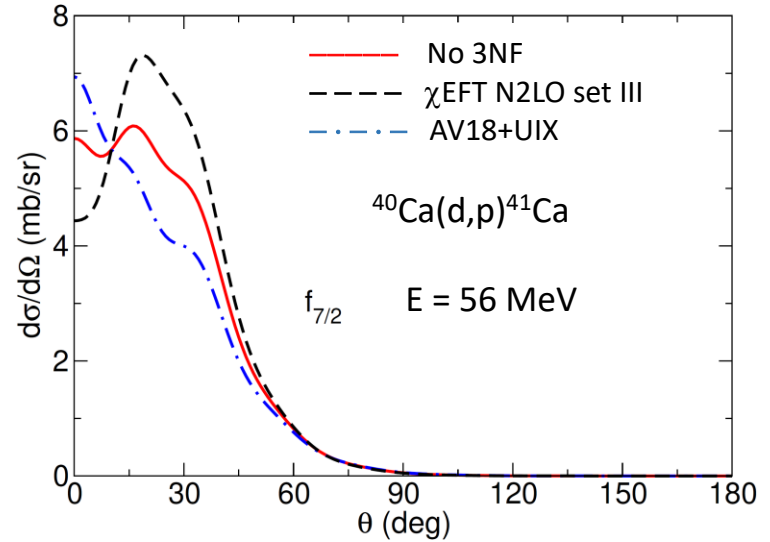
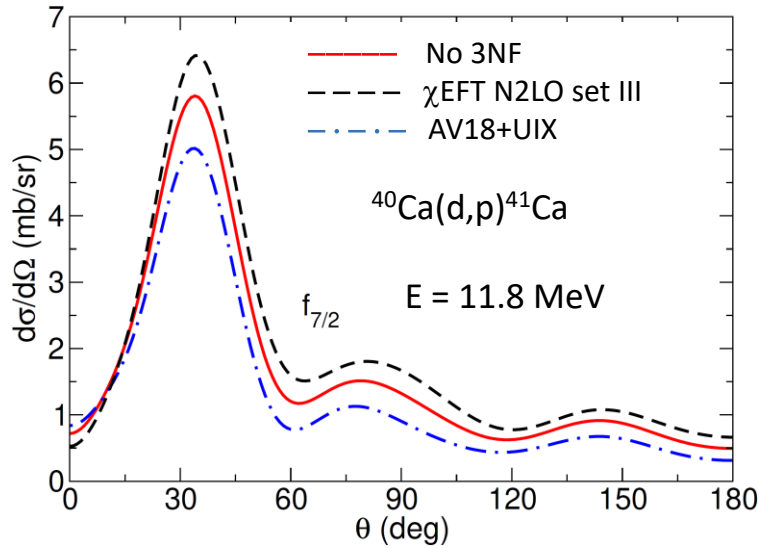


... and including induced 3-body (I3B) force



Watababe (folding) model with local optical potential KD03:

$$(T_{dA} + \langle \varphi_d | U_{nA} + U_{pA} | \varphi_d \rangle + \langle \varphi_A \varphi_d | \sum_{ijk} V_{ijk} | \varphi_A \varphi_d \rangle - E_d) \chi_{dA}^{(+)}(\mathbf{R}) = 0$$

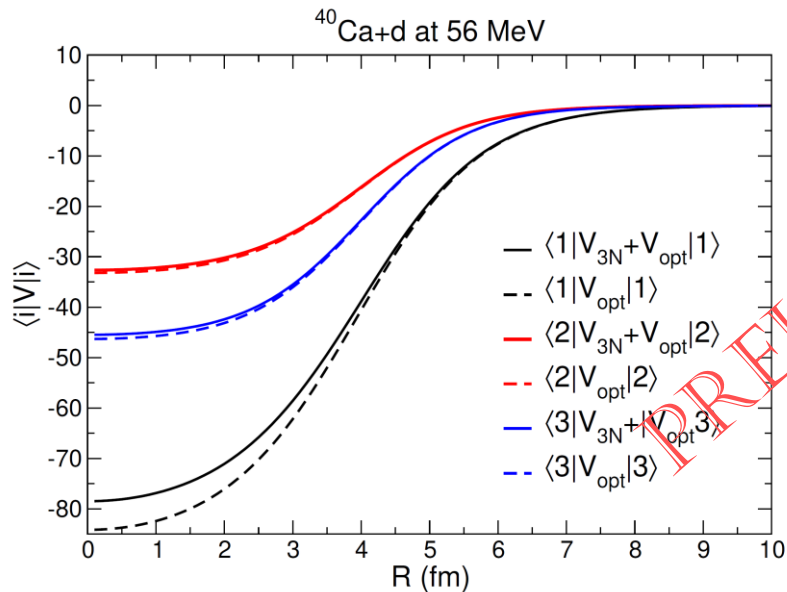


The work in progress:

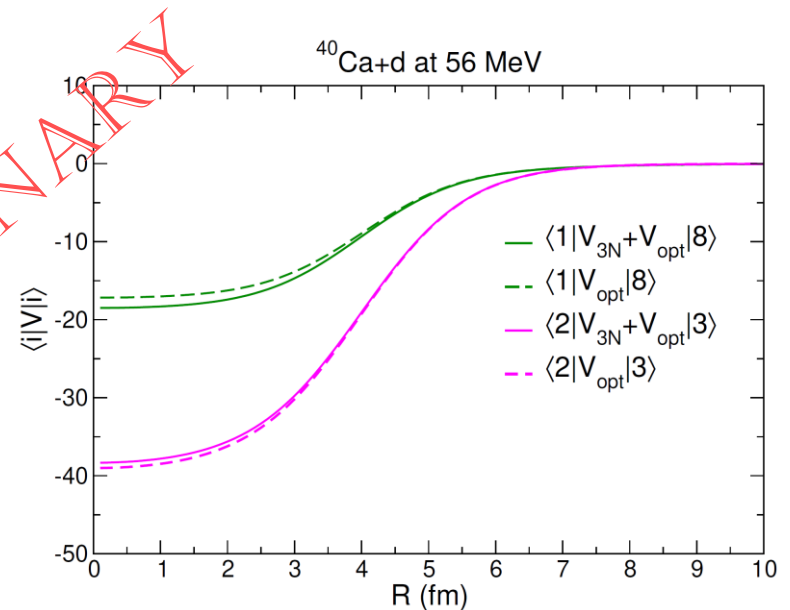
Contribution from 3N force in (d,p) reactions within CDCC, in collaboration with Mario Gomez-Ramos (Seville) and Laura Moschini (Surrey)

NN + 3N : AV18+UIX

Diagonal couplings:



Nondiagonal couplings:



Conclusions

There are two sources of contributions from 3N force in (d,p) reactions:

- Correction to (d,p) amplitude
- Correction to n+p+A interaction potential

Both contributions are very sensitive to the short-range physics and it is crucial to use 3N force that is consistent with the 2N force that determines the n-p observables

The n+p+A potential is very sensitive to the 2N+3N model.

The 3N contribution can be noticeable depending on the choice of a reacting system, deuteron energy, neutron separation energy and orbital momentum of the transferred neutron. It also depends on the choice of N-A optical potential and on induced three-body effects.